



# Stuart R. Winthrop, M.D.

## PATIENT INFORMATION

Please Print Clearly

Last Name \_\_\_\_\_ First Name \_\_\_\_\_ M.I. \_\_\_\_\_

SS# \_\_\_\_\_ Birth Date \_\_\_\_\_  Male  Female

Billing Address \_\_\_\_\_  
Street City State Zip

Home Phone ( ) \_\_\_\_\_ Work Phone ( ) \_\_\_\_\_ Ext \_\_\_\_\_

Cell# ( ) \_\_\_\_\_

Email \_\_\_\_\_ Fax # ( ) \_\_\_\_\_

Secondary Address \_\_\_\_\_  
Street City State Zip

Status:  Minor  Single  Married  Significant Other  Divorced  Widow

Spouse \_\_\_\_\_ Occupation \_\_\_\_\_

Address \_\_\_\_\_  
Street City State Zip

Phone Number ( ) \_\_\_\_\_  Home  Work  Cell SS# \_\_\_\_\_

Current eye care practitioner \_\_\_\_\_

Whom do we thank for this referral? \_\_\_\_\_  
.....

### How did you hear about us? (Please check all that apply)

Web Search  TV Ad  Online Ad  Newspaper Ad  Facebook Ad  Instagram  Yelp

My Current Doctor  My Friend or Family member  My Co-Worker  Reputation

Other \_\_\_\_\_

Comments \_\_\_\_\_  
\_\_\_\_\_



**Stuart R. Winthrop, M.D.**

**ACKNOWLEDGEMENT OF RECEIPT OF  
NOTICE OF PRIVACY PRACTICES**

HIPAA (Federal Health Insurance Portability and Accountability Act)

I, \_\_\_\_\_ have been offered a copy of this practice's  
Print Patient Name

**Notice of Privacy Practices**

HIPAA Privacy Procedures and Policies

I choose to take a copy

I choose not to take a copy

You have the right to refuse to sign this document

\_\_\_\_\_  
Patient Signature

\_\_\_\_\_  
Date

**FOR OFFICE USE ONLY**

This office attempted to obtain written acknowledgement of receipt of the Notice of Privacy Practices and we were unable to obtain signed acknowledgement because:

\_\_\_\_\_ the patient refused to sign.

\_\_\_\_\_ communication barriers prohibited obtaining signed acknowledgement.

\_\_\_\_\_ an emergency situation prevented this office from obtaining the acknowledgement.

Other \_\_\_\_\_

\_\_\_\_\_  
Clinician Signature

\_\_\_\_\_  
Date

**HIPAA Contact Person: Jeff Harbison, COT, (805) 963-4272**



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## EXHIBIT I NOTICE OF PRIVACY PRACTICES

THIS NOTICE DESCRIBES HOW MEDICAL INFORMATION ABOUT YOU MAY BE USED AND DISCLOSED AND HOW YOU CAN GET ACCESS TO THIS INFORMATION

PLEASE READ IT CAREFULLY

The Health Insurance Portability & Accountability Act of 1996 ("HIPAA") is a Federal program that requests that all medical records and other individually identifiable health information used or disclosed by us in any form, whether electronically, on paper, or orally are kept properly confidential. This Act gives you, the patient, the right to understand and control how your personal health information ("PHI") is used. HIPAA provides penalties for covered entities that misuse personal health information.

As required by HIPAA, we prepared this explanation of how we are to maintain the privacy of your health information and how we may disclose your personal information.

We may use and disclose your medical records only for each of the following purposes: treatment, payment and health care operation.

- Treatment means providing, coordinating, or managing health care and related services by one or more healthcare providers. An example of this would include referring you to a retina specialist.
- Payment means such activities as obtaining reimbursement for services, confirming coverage, billing or collections activities, and utilization review. An example of this would include sending your insurance company a bill for your visit and/or verifying coverage prior to a surgery.
- Health Care Operations include business aspects of running our practice, such as conducting quality assessments and improving activities, auditing functions, cost management analysis, and customer service. An example of this would be new patient survey cards.
- The practice may also disclose your PHI for law enforcement and other legitimate reasons although we shall do our best to assure its continued confidentiality to the extent possible.

We may also create and distribute de-identified health information by removing all reference to individually identifiable information.



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We may contact you, by phone or in writing, to provide appointment reminders or information about treatment alternatives or other health-related benefits and services, in addition to other fundraising communications, that may be of interest to you. You do have the right to "opt out" with respect to receiving fundraising communications from us.

The following use and disclosures of PHI will only be made pursuant to us receiving a written authorization from you:

- Most uses and disclosure of psychotherapy notes;
- Uses and disclosure of your PHI for marketing purposes, including subsidized treatment and health care operations;
- Disclosures that constitute a sale of PHI under HIPAA; and
- Other uses and disclosures not described in this notice.

You may revoke such authorization in writing and we are required to honor and abide by that written request, except to the extent that we have already taken actions relying on your authorization.

You may have the following rights with respect to your PHI.

- The right to request restrictions on certain uses and disclosures of PHI, including those related to disclosures of family members, other relatives, close personal friends, or any other person identified by you. We are, however, not required to honor a request restriction except in limited circumstances which we shall explain if you ask. If we do agree to the restriction, we must abide by it unless you agree in writing to remove it.
- The right to reasonable requests to receive confidential communications of Protected Health Information by alternative means or at alternative locations.
- The right to inspect and copy your PHI.
- The right to amend your PHI.
- The right to receive an accounting of disclosures of your PHI.
- The right to obtain a paper copy of this notice from us upon request.
- The right to be advised if your unprotected PHI is intentionally or unintentionally disclosed.

If you have paid for services "out of pocket", in full, and you request that we not disclose PHI related solely to those services to a health plan, we will accommodate your request, except where we are required by law to make a disclosure.

We are required by law to maintain the privacy of your Protected Health Information and to provide you the notice of our legal duties and our privacy practice with respect to PHI.



## Stuart R. Winthrop, M.D.

This notice is effective as of August 15, 2013 and it is our intention to abide by the terms of the Notice of Privacy Practices and HIPAA Regulations currently in effect. We reserve the right to change the terms of our Notice of Privacy Practice and to make the new notice provision effective for all PHI that we maintain. We will post and you may request a written copy of the revised Notice of Privacy Practice from our office.

You have recourse if you feel that your protections have been violated by our office. You have the right to file a formal, written complaint with office and with the Department of Health and Human Services, Office of Civil Rights. We will not retaliate against you for filing a complaint.

Feel free to contact the Practice Compliance Officer for more information, in person or in writing. Our Compliance Officer is Jeff Harbison, COT, 515 E. Micheltorena Street, Suite D, Santa Barbara, California 93103, Phone 805-963-4272 ext 24.